



Department: Inspection	Segment: All
Circular No: MSE/INSP/19920/2026	Date: October 15, 2026

Subject: Risk Based Supervision (RBS) - Submission of information for the period April 01, 2026 – September 30, 2026 (Half Year ended September - 2026)

To All Trading Members,

Members are requested to submit the information / data towards the Risk Based Assessment for the period April 01, 2026 – September 30, 2026, to the Exchange. The particulars of such information / data sought in this regard are enclosed as **Annexure - A**. The same has to be submitted to the Exchange electronically through the Inspection module in the Member portal latest by November 30, 2026.

User guide for submitting the same through the Inspection module in the Member portal is given in **Annexure - B** of this circular.

It is to be noted that the submission of RBS data is mandatory for all active Members of the Exchange (i.e. those who have executed even a single trade during the assessment period i.e. April 01, 2026 – September 30, 2026). Further, the data collected from the Members/Exchange towards RBS is shared with SEBI and in case of any non-submission/delayed submission by a member, disciplinary action as prescribed in **Annexure - C** will be initiated.

All Members are advised to take note of the above and comply.

In case of any query kindly contact Inspection Department on (022) 6112 9000, Extn. 9038, 9127, 9040, 9041, & 9042 or mail on 'compliancemsx@mse.co.in' or 'inspectionmsx@mse.co.in'

For and on behalf of
Metropolitan Stock Exchange of India Limited

Anshu Bharti
Assistant Vice President

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